




A versatile electrocatalytic [3+2] ring expansion approach for efficient electrosynthesis of oxa-/aza-heterocyclic compounds

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ABSTRACT

Electrosynthesis has emerged as a frontrunner in green chemistry, owing to its benign reaction conditions, high selectivity, tunable operating parameters, and eco-friendly features. Especially, the strategic synthesis of oxygen- and/or nitrogen-heterocyclic compounds holds great importance in across pharmaceutical, agrochemical, fragrance, polymeric, and clean energy sectors. Nevertheless, the electrochemical routes for crafting intricate heterocyclic compounds remain largely underexplored. Herein, we present an electrochemical [3 + 2] ring expansion approach that efficiently produces diverse O- and/or N-containing heterocyclic derivatives (e.g., 1,3-dioxolane, oxazoline, and cyclic carbonate) by fusing cheap and readily accessible precursors, e.g., acetone (CH_3COCH_3), acetonitrile (CH_3CN), or carbon dioxide (CO_2) with epoxide skeletons under ambient conditions. Experimental and computational investigations reveal the pivotal roles of cathodic noble metal nanocatalysts (e.g., Pt and Rh) deposited on carbon fibers and Lewis-acidic fluoroborate supporting electrolytes, promoting a kinetically favored concerted pathway over stepwise pathways in the electrocatalytic ring expansion cascade. Our analysis demonstrates that the differing activation energy barriers governing the crucial Lewis adduct $[2\pi + 2\sigma]$ -cycloaddition step correlate with the higher reaction rate of acetone over acetonitrile, attributable to stronger electrostatic interactions observed in the acetone-participated reaction as elucidated by electrostatic potential surface analyses of transition states. This work underscores the prowess of electrochemical skeletal editing strategy in facilitating the green production of diversified heterocyclic organic compounds.

1. Introduction

Cyclic organic compounds, particularly heterocycles, play an important role in our society. For instance, over two-thirds of U.S. Food and Drug Administration (FDA) approved medicines incorporate N-heterocycle and/or O-heterocycle components [1–4]. The advancement of novel reaction routes to construct and manipulate ring structures is crucial in determining the properties and functionalities of organic molecules [5–8]. Previous studies have explored skeletal editing approaches through the introduction of carbon [9–13], nitrogen [14,15], oxygen [16], boron [17], or other species [18,19] into cyclic molecules. 1,3-Dioxolane, oxazoline and cyclic carbonate derivatives are versatile five-membered ring-containing molecules that find multifarious

applications in various fields such as pharmaceuticals, biologics, pesticides, cosmetics, polymers, and clean energy industries. However, these compounds are typically synthesized via traditional thermochemical catalytic routes, and some of these methods are limited by harsh conditions, complex steps, low efficiency, large energy consumption, and the use of substantial amounts of catalysts [20–24]. Therefore, exploring an efficient ring expansion approach for synthesizing miscellaneous heterocyclic compounds by incorporating small molecules (such as acetone, acetonitrile or carbon dioxide) presents an intriguing alternative.

Electrochemical synthesis technique offers numerous advantages, including mild conditions, strong controllability, high efficiency, and the harnessing of economical electricity sourced from renewable

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energies like solar and wind power [25–28]. These distinctive features have propelled the electrocatalysis methodology into the forefront of green synthetic chemistry, offering fascinating avenues for C–C functionalization, C–H activation, decarboxylative coupling, halogenation, borylation, metallo-organic coordination, and numerous other transformations. Nevertheless, efficient electricity-driven approaches for expanding rings to form oxygen- and/or nitrogen-containing heterocyclic organic compounds are seldom documented, and have consistently

presented substantial challenges.

Herein, we report a versatile and scalable electrochemical [3 + 2] skeletal ring expansion strategy for the high-yield preparation of five-membered oxa-/aza-heterocycles (including 1,3-dioxolane, oxazoline, and cyclic carbonate) by inserting small molecules (e.g., acetone, acetonitrile, and carbon dioxide) into epoxide scaffolds, facilitated by the synergistic action of the cathodic metal electrocatalysts obtained via an *in situ* electrodeposition route on carbon fibers and a Lewis-acidic

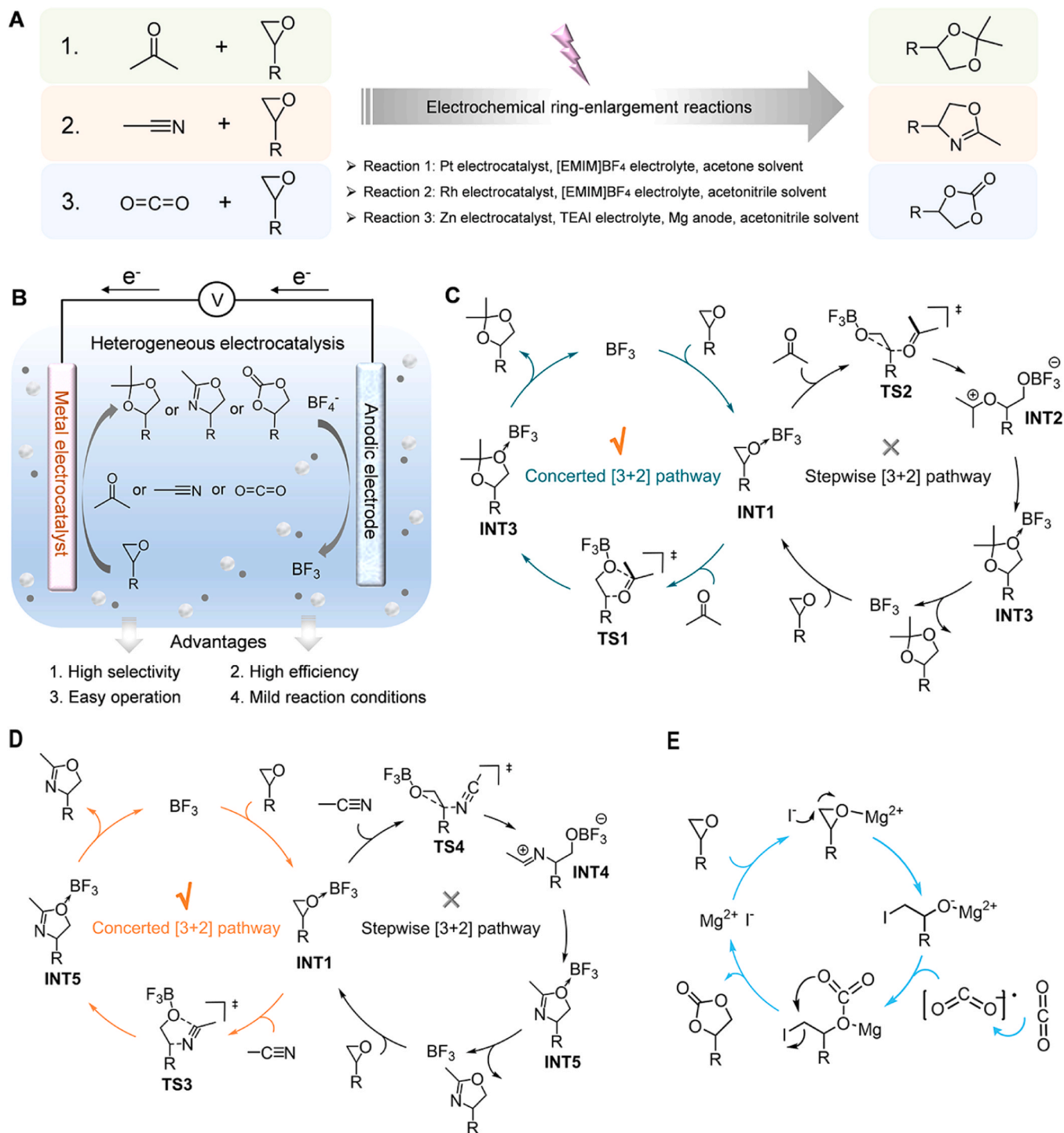


Fig. 1. The pathways, experimental configuration, and reaction routes of electrocatalytic ring expansion reactions. (A) Schematic reaction routes and (B) apparatus configuration of the electrochemical ring expansion approach for the synthesis of 1,3-dioxolane, oxazoline, and cyclic carbonate derivatives via the ring enlargement of epoxide scaffolds by small molecule units (e.g., CH₃COCH₃, CH₃CN, and CO₂). (C–E) Proposed and computed catalytic cycles of the BF₃-mediated coupling reaction pathways for the introduction of (C) CH₃COCH₃, (D) CH₃CN, and (E) CO₂ as insertion units into epoxide matrices.

fluoroborate mediator under ambient conditions. In this study, an electrically conductive carbon felt (CF) served as the substrate for nanocatalyst growth. Composed of interconnected carbon fibers, the CF's robust structure helps mitigate the detachment of electrocatalyst during electrochemical reactions. Additionally, the spatial confinement offered by the carbon fiber network helps prevent catalyst aggregation and surface oxidation, effectively preserving catalytic activity. Both experimental and computational analyses suggest that the proposed concerted ring expansion pathway is kinetically more favorable than the stepwise pathways in the electrochemical reactions. The reaction rate of acetone ($\sim 95 \text{ mmol h}^{-1} \text{ cm}_{\text{cat}}^{-2}$) surpasses that of acetonitrile ($\sim 39 \text{ mmol h}^{-1} \text{ cm}_{\text{cat}}^{-2}$) due to the difference in activation energy barrier for the rate-determining step of Lewis adduct $[2\pi+2\sigma]$ -cycloaddition process. Furthermore, the efficient electrochemical synthesis of cyclic carbonates has also been accomplished through the utilization of the greenhouse gas CO_2 molecule as the pivotal insertion unit, underscoring its immense potential for facilitating carbon neutralization efforts. This study exemplifies the practicality of electrochemical skeletal editing strategies in achieving eco-friendly green synthesis of diversiform heterocyclic organic products.

2. Results and discussion

2.1. Electrochemical ring expansion strategy and electrocatalytic reaction pathways

Value-added oxa/aza-heterocycle molecules, such as five-membered 1,3-dioxolane, oxazoline, and cyclic carbonate derivatives, frequently serve as key building blocks, ligands, or chelating agents in the synthesis of more complicated organic and organometallic compounds. Nevertheless, the conventional thermochemical methods to produce these molecules are intricate, and the raw materials involved are economically burdensome. Global production statistics reveal a substantial annual output of approximately $\sim 15,000,000$ tons of acetone (CH_3COCH_3) and $\sim 3,400,000$ tons of acetonitrile (CH_3CN) in 2022. Alarmingly, global emissions of the industrial exhaust gas, carbon dioxide (CO_2), have surpassed a staggering 36.8 gigatons in 2022, exacerbating the global climate change. The strategic harnessing of CH_3COCH_3 , CH_3CN , and CO_2 as cost-effective and abundant raw materials in organic synthesis holds immense intrigue, promising significant economic gains and industrial significance. To achieve this objective, we introduce an electrochemical ring expansion methodology that proficiently enlarges three-membered epoxides through the insertion of these characteristic $\text{C}=\text{O}/\text{C}\equiv\text{N}$ -bearing molecules, enabling the highly selective synthesis of 1,3-dioxolane, oxazoline, and cyclic carbonate derivatives, as schematically depicted in Fig. 1A-1B. Electrochemical organic synthesis offers several key advantages over traditional thermal catalysis route, including mild conditions, high selectivity, and low energy consumption (direct electron transfer avoids high temperature and hazardous reagents). It also has a minimal environmental footprint, as it can make use of renewable electricity and generates less waste, making it a greener and more sustainable alternative for chemical manufacturing. This innovative process employs cathodic metal/alloy electrocatalysts and fluoroborate-containing ionic liquid supporting electrolytes, as detailed in the Experimental section of Supplementary Materials. This BF_3 source originated from fluoroborate-containing ionic liquid has many merits as follows: (1) The *in-situ* generation process through simple galvanostatic electrolysis eliminates the need for storage procedures; (2) It allows for easy dosing by turning the current on and off; (3) The process produces no harmful fumes due to strong interaction with the ionic liquid; (4) It exhibits low sensitivity to moisture, as the ionic liquid protects against humidity.

Fig. 1C illustrates the electrocatalytic cycle for the ring expansion reaction of aromatic epoxides exemplified by styrene oxide, utilizing acetone as the insertion moiety. Initially, the complexation of styrene oxide with BF_3 species originated from fluoroborate-based ionic liquid

forms the Lewis adduct **INT1**, which is exothermic by $4.3 \text{ kcal mol}^{-1}$. Then, the **INT1** reacts with acetone through either a concerted mechanism (cyan line, via transition state 1, namely **TS1**) or a stepwise mechanism (black line, starting with a BF_3 -mediated ring-opening reaction of styrene oxide via transition state 2, namely **TS2**) to yield the intermediate products. Computational analyses reveal that the concerted ring expansion reaction pathway exhibits kinetic superiority over the stepwise pathway, and the detailed discussion of this kinetic preference is provided in subsequent sections. Additionally, Fig. 1D and Fig. 1E depict the electrocatalytic cycles for ring expansion reactions featuring the insertion of acetonitrile and carbon dioxide molecules, respectively. In the former scenario, the BF_3 -bearing ionic liquid plays a pivotal role as a reaction mediator, whereas in the latter, the sacrificial magnesium metal anode functions as a Lewis acidic electrocatalyst, efficiently promoting the targeted ring expansion reactions.

2.2. Electrochemical ring expansion of epoxides by reacting with acetone

The electrochemical ring expansion reaction route of styrene oxide (**1a**) with acetone for the synthesis of 2,2-dimethyl-4-phenyl-1,3-dioxolane (**1b**) was carried out using a standard three-electrode system in an undivided electrochemical cell at room temperature (Fig. 2). Typically, the working electrode (i.e., cathode) was a piece of carbon felt (CF, $1 \times 1 \text{ cm}^2$) electrodeposited with platinum nanoparticles (namely Pt-NPs/CF), while a graphite rod and a standard Ag/AgCl electrode were used as the counter electrode (i.e., anode) and reference electrode, respectively (Fig. 2A). Under a constant current density of 20 mA/cm^2 , the output voltage for the electrochemical ring expansion reaction producing **1b** is approximately -1.4 V (vs. Ag/AgCl). To investigate the influence of electrocatalysts on the results of electrosynthesis, we further prepared and compared a range of other cathodic electrocatalysts by loaded with various metal/alloy nanoparticles (Fig. S1). These included unary metals such as Pt, Rh, Pd, Au, and Ru, binary alloys comprising AuPt and RuPt, and a ternary alloy consisting of RhRuPt. As shown in Fig. 2B-2C and Fig. S2-S13, the crystalline structures, morphological features and chemical compositions of the as-prepared electrocatalysts were comprehensively characterized, verifying their successful preparation [29-31]. The resultant cathodic electrocatalysts display a 3D-crosslinked scaffold distinguished by a uniform distribution of particles and a plethora of exposed active sites. These attributes facilitate efficient mass transport and enhance the adsorption and desorption processes of reaction intermediates. The electrocatalytic stability of Pt-NPs/CF electrode was evaluated through cycling tests, as depicted in Fig. 2D. After five cycles, the yield remained at approximately 90 %. The screening experiments were performed utilizing the prepared cathodic electrocatalysts. As illustrated in Fig. S14, the yields of **1b** obtained from these cathodic electrocatalysts underscore the exceptional performance of the Pt-NPs/CF cathode, which attained a yield of approximately 95 % after a reaction duration of 1 h along with a **1b** reaction rate of $\sim 95 \text{ mmol h}^{-1} \text{ cm}_{\text{cat}}^{-2}$. The voltage-time (V-t) profiles under different applied current densities and supporting electrolyte concentrations are presented in Fig. S15 and Fig. S16, respectively. When a current density of -10 mA cm^{-2} and a supporting electrolyte concentration of 0.05 M were applied, reactant signals remained detectable via GC-MS within the 1-hour reaction period. In contrast, under conditions of -50 mA cm^{-2} and 0.2 M supporting electrolyte, a noticeable decline in energy efficiency (EE) accompanied by a rise in reaction cost that is undesirable from both economic and energetic standpoints.

The impact of various ionic liquid supporting electrolytes, featuring different molecular structures and compositions, on the yield of **1b** was also explored (Fig. S17 and Fig. 2E). Among the fluoroborate-containing ionic liquids, 1-ethyl-3-methylimidazolium tetrafluoroborate ([EMIM] BF_4) demonstrated the highest yield of **1b** when associated with the Pt-NPs/CF cathode. Notably, supporting electrolytes devoid of fluoroborate ions, such as tetraethylammonium bromide (TEAB) and tetraethylammonium iodide (TEAI), exhibited negligible yields of product **1b**.

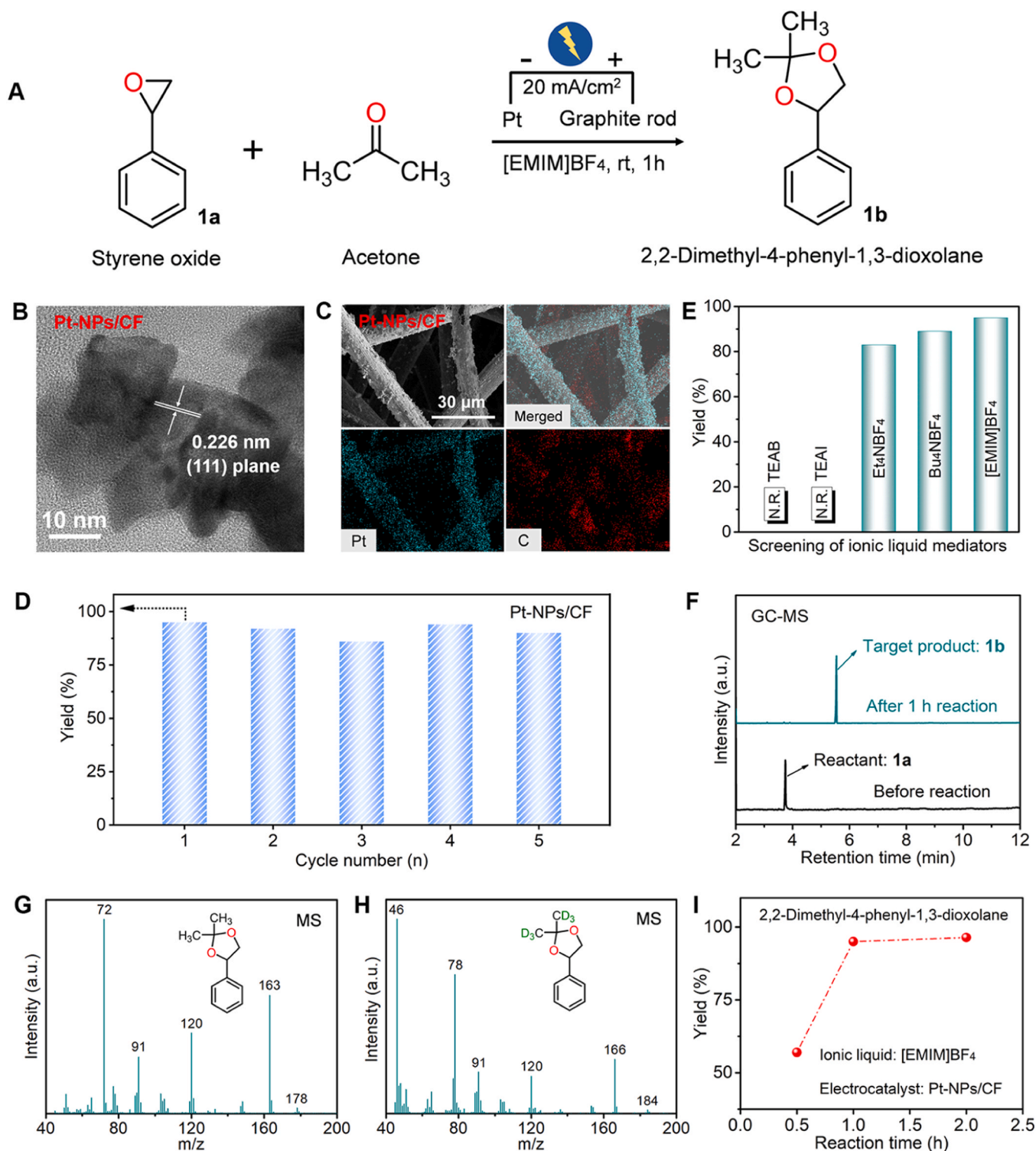


Fig. 2. Electrocatalytic ring expansion of epoxides by reacting with acetone. (A) Electrocatalytic cycloaddition route of styrene oxide (1a) with acetone to produce 2,2-dimethyl-4-phenyl-1,3-dioxolane (1b). Typical reaction conditions: styrene oxide (1a, 100 mM), acetone (30 mL), supporting electrolyte ([EMIM]BF₄, 100 mM), Pt-NPs/CF cathode, and graphite rod anode in an undivided electrolytic cell under a constant current density of 20 mA/cm² for 1 h at room temperature. (B) HRTEM image and (C) SEM and corresponding elemental mapping images of Pt-NPs/CF electrocatalyst. (D) Cycling tests of Pt-NPs/CF cathode for the 1b production. (E) The yields of 1b using various ionic liquid supporting electrolytes; N.R. = no reaction. (F) GC-MS analyses before and after 1 h of electrochemical ring expansion reaction with Pt-NPs/CF electrocatalyst and [EMIM]BF₄ supporting electrolyte. (G, H) MS plots of the product 1b derived from (G) acetone and (H) deuterated acetone, respectively. (I) The accumulative yield of 1b within the reaction duration of 2 h.

These findings emphasize the pivotal role of Lewis-acidic BF_3 -containing ionic liquids as crucial mediators and electron acceptors in the electrochemical ring expansion process. Conversely, the halogen ions present in TEAB and TEAI predominantly function as Lewis basic “electron pair donor” and nucleophile, thus lacking of the superior attributes of Lewis-

acidic electrocatalysts.

Qualitative analyses of product **1b** were performed using gas chromatography-mass spectrometry (GC-MS) by utilizing the Pt-NPs/CF cathodic electrocatalyst and $[\text{EMIM}]\text{BF}_4$ supporting electrolyte under the optimized reaction conditions. The GC-MS results in Fig. 2F revealed

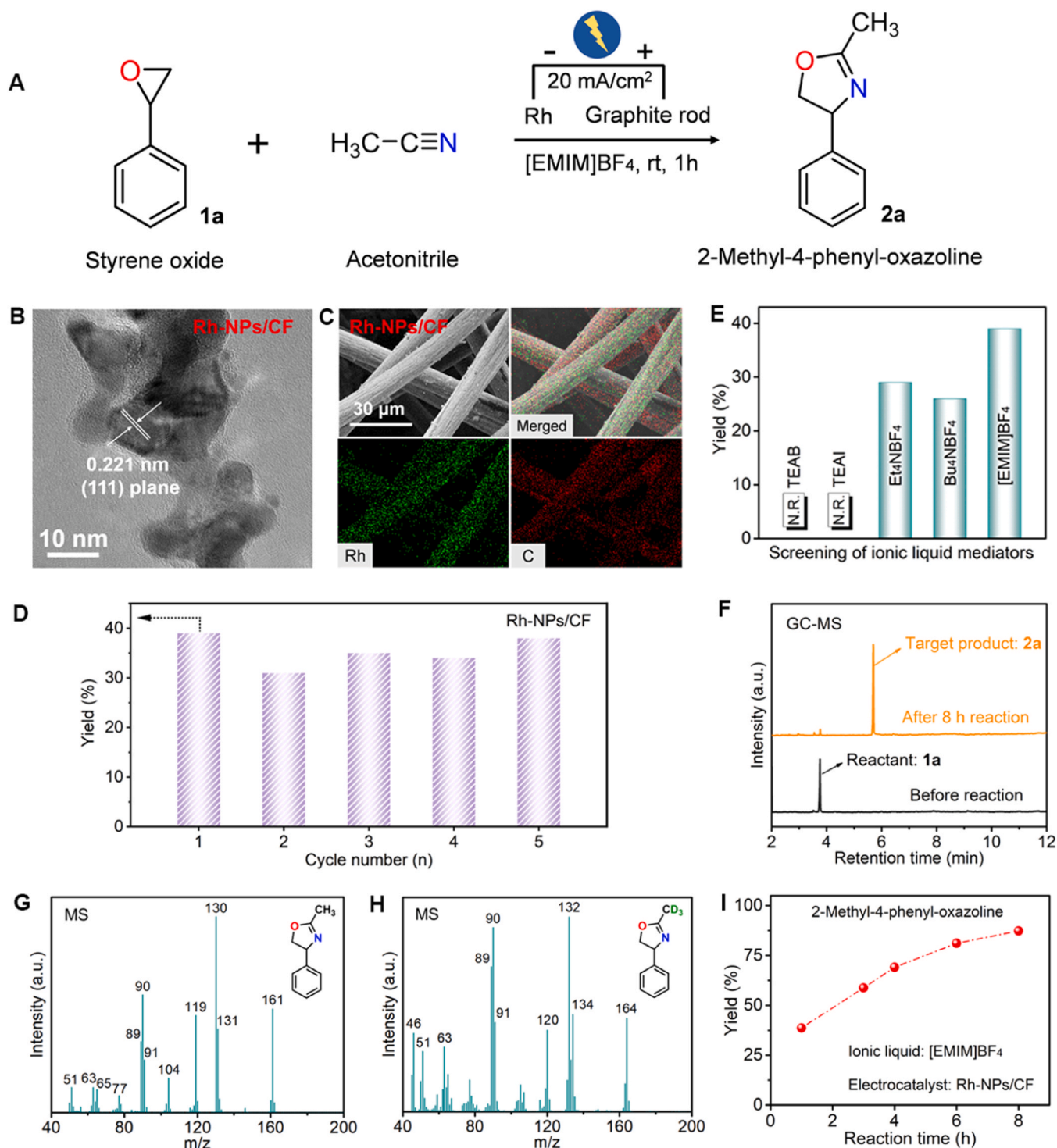


Fig. 3. Electrochemical ring expansion of epoxides by reacting with acetonitrile. (A) Electrocatalytic cycloaddition route of styrene oxide (**1a**) with acetonitrile to produce 2-methyl-4-phenyl-oxazoline (**2a**). Typical reaction conditions: styrene oxide (**1a**, 100 mM), acetonitrile (30 mL), supporting electrolyte ($[\text{EMIM}]\text{BF}_4$, 100 mM), Rh-NPs/CF cathode, and graphite rod anode in an undivided electrolytic cell under a constant current density of 20 mA/cm^2 for 1 h at room temperature. (B) HRTEM image and (C) SEM and corresponding elemental mapping images of Rh-NPs/CF electrocatalyst. (D) Cycling tests of Rh-NPs/CF cathode for the **2a** production. (E) The yields of **2a** using various ionic liquid supporting electrolytes; N.R. = no reaction. (F) GC-MS analyses before and after 8 h of electrochemical ring expansion reaction with Rh-NPs/CF electrocatalyst and $[\text{EMIM}]\text{BF}_4$ supporting electrolyte. (G, H) MS plots of the product **2a** derived from (G) acetonitrile and (H) deuterated acetonitrile, respectively. (I) The accumulative yield of **2a** within the reaction duration of 8 h.

that the characteristic peak of reactant **1a** appeared at a retention time of 3.75 min was completely consumed after 1 h of electrochemical reaction, resulting in a superior selectivity towards the formation of target product **1b**, which shows a strong peak at the retention time of 5.53 min, with no detectable byproducts present. The peak in MS plots appears at $m/z = 178$, representing the intact molecular ion (M^+) for **1b** without fragmentation. A peak at $m/z = 163$ can be observed, which is originated from the loss of a methyl group ($-CH_3$) from the molecular ion peak. Another notable peak at $m/z = 91$ signifies a stable aromatic ion associated with the loss of the dioxolane moiety, resulting in a toluene-like structure (Fig. 2G). Furthermore, a deuterium isotope labeling experiment was conducted using deuterated acetone (CD_3COCD_3) reagent, demonstrating MS peak shifts that clearly indicated the successful incorporation of deuterated acetone into product **1b**, as shown in Fig. 2H. Subsequently, the high purity of the isolated product **1b** was further verified through 1H and ^{13}C nuclear magnetic resonance (NMR) spectroscopies (Fig. S18–S19). The time-dependent yield of **1b** was also assessed (Fig. 2I). The results exhibited a swift increase in product quantity and ultimately achieved a plateau yield of approximately 95 % after 1 h, indicating a relatively high reaction rate and an efficient reagent conversion ratio.

Electrochemical impedance spectroscopy (EIS) measurements revealed distinct charge transfer characteristics between the cathodic electrocatalysts, as displayed in Fig. S20. The Nyquist plots showed that Pt-NPs/CF exhibited a reduced charge transfer resistance (Rct), suggesting enhanced electron mobility within the electrode interface. Structural characterizations of Pt-NPs/CF electrode were performed before and after the cycling tests using multiple analytical techniques (Fig. S21–S23).

Furthermore, we developed a decagram-scale synthesis protocol for the electrocatalytic dioxolanation reaction by utilizing low-cost graphite rod and platinum plate electrodes in an undivided electrochemical cell under constant current conditions. These mild and operationally simple setups offered opportunities for large-scale electrosynthetic applications. By increasing both substrate concentration and electrode surface area, the dioxolanation reaction of styrene oxide was efficiently scaled up, yielding the target product in approximately 86 % (15.3 g) (Fig. S24). This result demonstrates that this electrochemical strategy created a practical route to high-value-added chemicals.

2.3. Electrochemical ring expansion of epoxides by reacting with acetonitrile

For comparison, Fig. 3A delineates the reaction pathway for the ring expansion of **1a** with acetonitrile, leading to the formation of 2-methyl-4-phenyl-oxazoline (**2a**). The output voltage of ring-enlargement reaction for the electrosynthesis of **2a** is around -1.2 V (vs. Ag/AgCl) at a fixed current density of 20 mA/cm². The cycling tests of Rh-NPs/CF electrocatalyst for the **2a** production is shown in Fig. 3D. Screening experiments were conducted to assess the yields of **2a** using diverse cathodic electrocatalysts (Fig. S25) and supporting electrolytes (Fig. 3E). The results indicated that the Rh-NPs/CF cathode combined with the [EMIM]BF₄ electrolyte exhibited the highest yield after a reaction duration of 1 h with a **2a** reaction rate of ~ 39 mmol h⁻¹ cm⁻². The superior electrocatalytic performance of the Rh-NPs/CF cathodic electrocatalyst is primarily ascribed to its favorable electronic structure and robust capacity for reactant adsorption. The d-orbitals of the rhodium metal enable optimal interactions with the substrates, thereby facilitating efficient activation and weakening of the reactant bonds [10, 12].

Meanwhile, the supporting electrolyte containing BF₄⁻ anions demonstrated superior performance as a crucial Lewis-acidic mediator in the electrochemical ring expansion process (Fig. 3E). This attribute is particularly pivotal, as bond activation is indispensable for the ring-opening of epoxides. To delve deeper into the influence of BF₄⁻ anions, cyclic voltammetry (CV) experiments were conducted from -3.5 V

to 3.5 V (vs. Ag/AgCl) using a pristine CF cathode in either TEAB or [EMIM]BF₄ supporting electrolytes. In the presence of [EMIM]BF₄, distinct redox peaks emerged, clearly indicating the occurrence of the desired electrochemical coupling reaction (Fig. S26). Conversely, when using the fluoroborate-free TEAB supporting electrolyte, the signal corresponding to **2a** was absent, aligning with the previous discussion on the synthesis of **1b**.

Gas chromatography-mass spectrometry (GC-MS) and high-performance liquid chromatography (HPLC) analyses were conducted to evaluate the production of target product **2a** before and after an 8-h reaction period (Fig. 3F and Fig. S27), confirming the high selectivity for **2a** production which has a retention time of 5.71 min in GC-MS and 4.62 min in HPLC, respectively. The molecular ion peak (M^+) in MS results appears at $m/z = 161$, which corresponds to the intact molecule of **2a**. A fragmentation pathway may involve the loss of a hydrogen atom, leading to the peak around $m/z = 160$. Further fragmentation may involve the cleavage of a methyl group and a phenyl moiety, or the rearrangement of the aromatic system, leading to the formation of a fragment ion at $m/z = 104$. Moreover, the heterocyclic ring could undergo a ring opening process, generating fragment ions at m/z value such as 89 or lower. These fragments may arise from the loss of neutral species (such as -NH or other small fragments), as displayed in Fig. 3G. In a deuterium isotope labeling experiment, the MS plot exhibited clear shifts in peaks from $m/z = 131$ – 134 and $m/z = 161$ – 164 , indicating successful incorporation of deuterated acetonitrile (CD_3CN) onto **1a** (Fig. 3H). Furthermore, the Fourier-transform infrared (FTIR) spectra of the liquid product revealed specific coordination environments, featuring a peak around 1677 cm⁻¹ originated from the C=N bond of **2a** (Fig. S28). The 1H NMR and ^{13}C NMR analyses for the isolated product **2a** were presented in Fig. S29 and Fig. S30, respectively, further confirming its high purity. Under optimal reaction conditions utilizing the Rh-NPs/CF cathodic electrocatalyst and [EMIM]BF₄ supporting electrolyte, the cumulative yield of **2a** progressively increased with prolonged reaction time, ultimately reaching approximately 87 % after an 8-h reaction (Fig. 3I). The electrocatalytic ring-expansion route developed in this study for the synthesis of value-added 1,3-dioxolane and oxazoline compounds is compared with representative thermo-catalytic and photo-catalytic approaches from the literature in Table S1, which demonstrates that our electrochemical strategy achieves a very competitive reaction efficiency under milder conditions.

2.4. Expandability for the synthesis of diverse 1,3-dioxolane and oxazoline derivatives

To assess the expandability of fluoroborate-mediated [3 + 2] electrochemical ring expansion approach, we investigated the versatility of this protocol across a range of substituted epoxide substrates (Fig. 4A). We are particularly focused on halogen-substituted substrates, which are of great significance in the pharmaceutical industry and drug discovery [32,33]. Fig. 4B depicts the isolated yields for 1,3-dioxolane derivatives, with halogen-containing products yielding between 71 % and 80 %, while the methylated product (**1m**) yielded approximately 67 % after a reaction duration of 1 h. Analogously, extension experiments were conducted to synthesize oxazoline-based derivatives, achieving isolated yields for the halogenated products ranging from 66 % to 74 %, while the methylated product (**2g**) yielded approximately 62 % after a reaction time of 8 h, as shown in Fig. 4C. The MS analysis results for all target compounds are provided in Fig. S31–S41. Broadly, the yield percentages of 1,3-dioxolane derivatives were higher than those of oxazoline derivatives. This difference is potentially attributable to variations in the energy barriers of the respective reactions, which will be elaborated in subsequent mechanistic investigations.

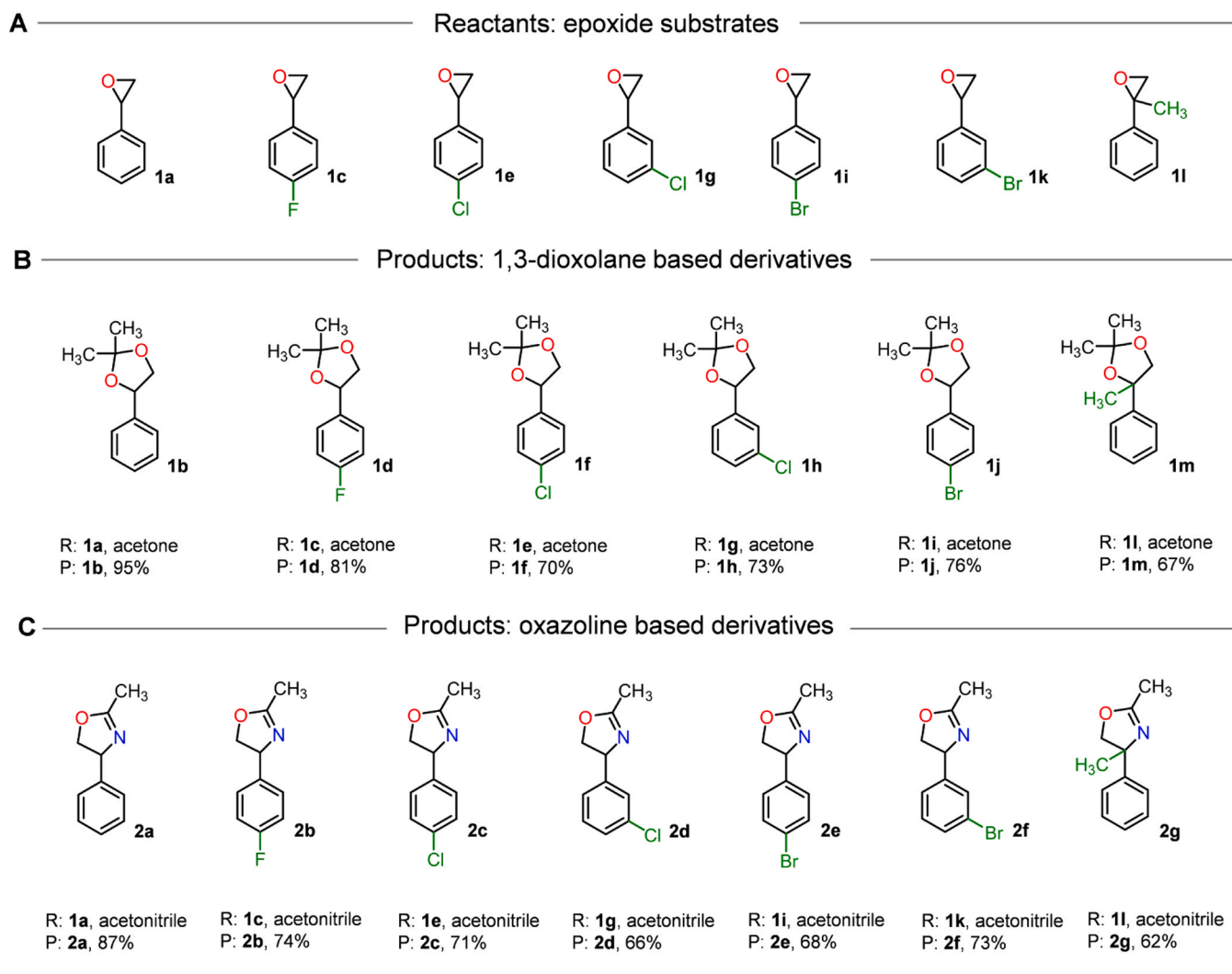


Fig. 4. Substrate universality for electrochemical ring expansion of (A) aromatic epoxides reacted with (B) acetone and (C) acetonitrile precursors for the synthesis of 1,3-dioxolane and oxazoline derivatives; “R” and “P” correspond to reactant and product, respectively.

2.5. Electrochemical ring expansion of epoxides by reacting with carbon dioxide

To achieve a carbon-neutral cycle, considerable research has been dedicated to developing efficient coupling reactions that convert greenhouse gas CO₂ feedstock into economically viable products [34–40]. One promising approach, characterized by high atomic economy, involves the conversion of CO₂ and epoxides into cyclic carbonates, which are valuable for producing polycarbonates and as polar aprotic solvents in batteries. Traditionally, the synthesis of cyclic carbonates has involved the addition of CO₂ to epoxides, facilitated by metal halides or complexes as catalysts, under conditions of high pressure and temperature [41]. In this study, we propose the electrochemical ring expansion route as a greener and more energy-efficient alternative for the preparation of cyclic carbonates.

The typical reaction conditions to facilitate the electrochemical ring-opening reaction of **1a** for producing styrene carbonate (**3a**) under atmospheric CO₂ pressure and ambient temperature are outlined in Fig. S42A. This carboxylation process is promoted by the synergistic effect of tetraethylammonium iodide (TEAI) ionic liquid supporting electrolyte as an ionic liquid supporting electrolyte and electrogenerated Mg²⁺ salt derived from sacrificial Mg anode as a Lewis acidic mediator. Moreover, the effects of various cathodic electrocatalysts and supporting electrolytes on the yield of **3a** were also investigated, as illustrated in

Fig. S42B and Fig. S42C, respectively. The XRD patterns of all metal electrodes and the Zn 2p XPS result for Zn foil are depicted in Fig. S43 and Fig. S44, respectively. The results show that the zinc (Zn) foil cathode and TBAI supporting electrolyte exhibit superior performance for the **3a** production. The d-electrons of cathodic Zn electrocatalyst contribute to its ability for stabilizing intermediate species or transition states during this reaction, thereby facilitating electron transfer and lowering activation energy. Moreover, Zn is known for its low cost, abundance, and environmental friendliness compared to other precious metals, making it an attractive option for practical industrial applications. The yield of **3a** using TBAI as a supporting electrolyte is higher than that using TEAB, which is attributed to the stronger nucleophilicity of I⁻ compared to Br⁻. As a nucleophilic reagent, I⁻ can effectively attack the C-O bonds of epoxides, facilitating the opening of the oxygen-containing three-membered rings and leading to the formation of the key intermediate that ultimately produces the pentacyclic carbonates. In contrast, BF₄⁻, essential in the electrochemical synthesis of 1,3-dioxolane and oxazoline derivatives, lacks nucleophilicity and does not possess the reactivity required to generate cyclic carbonate products. The mass spectrum (MS) result for the target product (**3a**) is depicted in Fig. S42D. The molecular ion peak (M⁺) at *m/z* = 164 corresponds to the intact molecule of **3a**. A potential fragmentation pathway involves the loss of carbon dioxide, forming a fragment ion at *m/z* = 120. Additional fragment ions are possibly derived from the aromatic system. For instance,

the peak at $m/z = 91$ can be attributed to a stable benzyl cation fragment, while $m/z = 77$ may arise from other aromatic rearrangements.

2.6. Theoretical simulations and reaction mechanism studies

To gain mechanistic insight into the ring expansion reactions of epoxide precursors, density functional theory (DFT) calculations were

conducted using the M06-2X functional with acetone and acetonitrile as the model molecules, as depicted in Fig. 5 [42–45]. Previous research by Feroci's group reported that the *in-situ* formation of BF_3 through the direct anodic oxidation of fluoroborate-containing ionic liquid has the potential to enhance reaction yields in organic synthesis [46]. Our investigation revealed that when employing various ionic liquid supporting electrolytes, only those with BF_4^- as the counter anion could

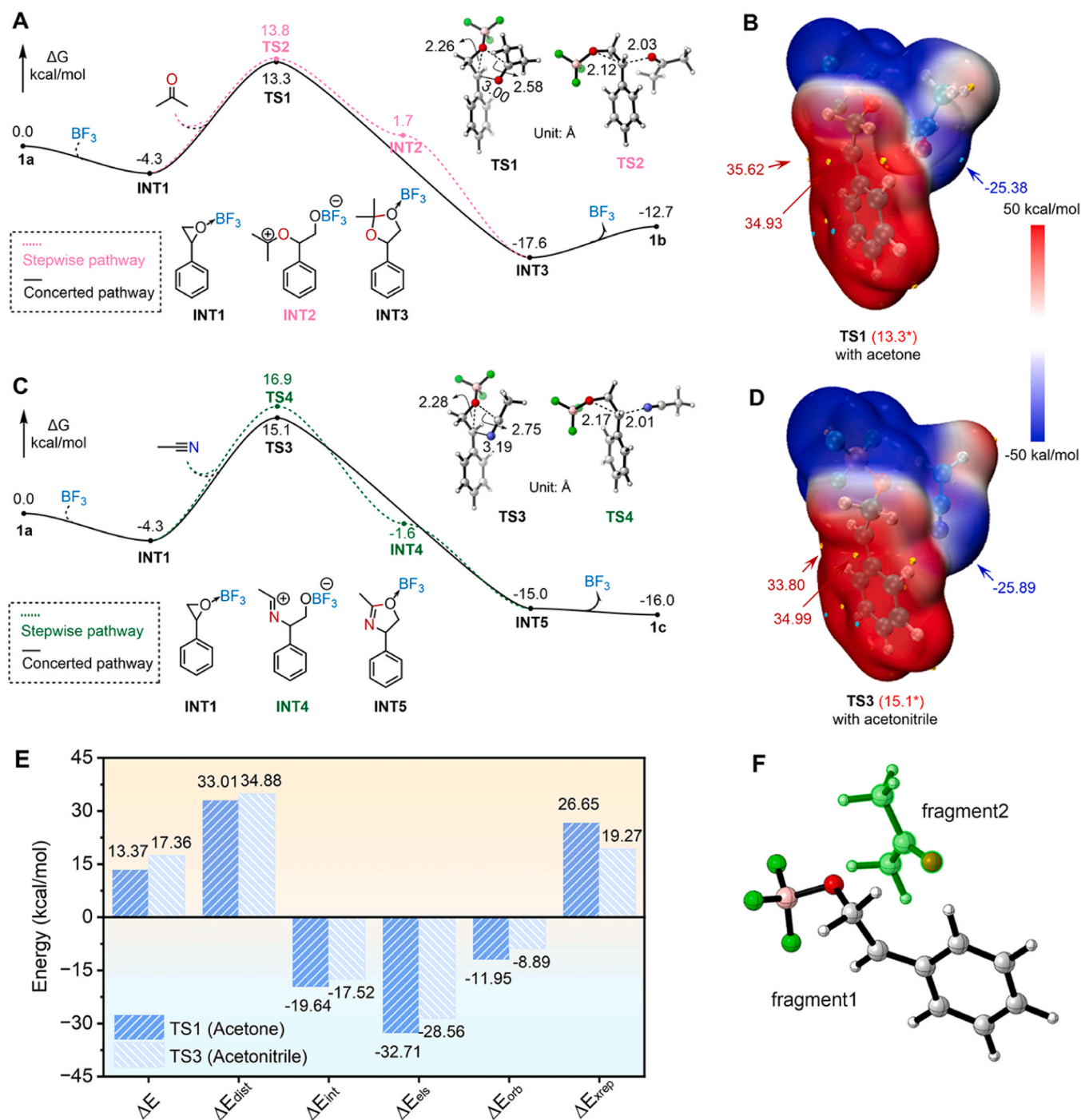


Fig. 5. Theoretical calculations on the possible electrochemical ring expansion reaction pathways of epoxides. (A, C) The computed Gibbs free energy profiles for the different pathways in electrochemical ring expansion reaction of 1a with (A) acetone and (C) acetonitrile (in kcal mol⁻¹). The unit of distances is in Å. (B, D) The electrostatic potential surfaces of two electrochemical ring expansion transition states: (B) TS1 with acetone and (D) TS3 with acetonitrile (in kcal mol⁻¹). The positions pointed by the arrows correspond to the extreme points of the electrostatic potentials on the reaction site surfaces (blue arrow indicates the negative potential that attracts positive charge; red arrow indicates the positive potential that attracts negative charge). (E) Comparison of the energy components of transition states TS1 and TS3 via energy decomposition analysis (EDA). This analysis reveals the contributing factors (distortion, electrostatic, and orbital interactions) to the overall activation barrier difference between the acetone and acetonitrile pathways. (F) The fragmentation model for TS1.

initiate the ring-enlargement processes of epoxide substrates under a negative bias. The BF_4 containing ionic liquids serve as both a supporting electrolyte and a crucial mediator. In this work, the HPLC chromatogram collected during the electrochemical ring expansion reaction (Fig. S45) provides direct evidence for the *in-situ* generation of BF_3 intermediate. The *in-situ*-formed BF_3 intermediate, acting as a Lewis acid electrocatalyst, can effectively weaken the C–O bond of epoxide group and lower the activation energy of the rate-determining step in the subsequent epoxide cleavage process [20,24].

As illustrated in Fig. 5A, the acetone-mediated reaction commences with the coordination of BF_3 to the epoxide **1a**, forming the intermediate INT1 ($\Delta G = -4.3$ kcal/mol). From INT1, two competing pathways for the nucleophilic attack of acetone were identified: a concerted pathway (solid black line) and a stepwise pathway (dashed pink line). The concerted pathway proceeds via transition state TS1, representing the rate-determining step with a Gibbs free energy barrier of 13.3 kcal/mol. The alternative stepwise pathway, via TS2 and the intermediate INT2, faces a slightly higher barrier of 13.8 kcal/mol. Given the low barrier difference (within 0.5 kcal/mol), though the concerted pathway is lower in energy, both pathways are kinetically accessible. The corresponding reaction profile for acetonitrile is depicted in Fig. 5C. The reaction follows a similar mechanistic scenario, with the concerted pathway (TS3, $\Delta G^\ddagger = 15.1$ kcal/mol) being kinetically favored over the stepwise pathway (TS4, $\Delta G^\ddagger = 16.9$ kcal/mol). Compared with acetone, the reaction of acetonitrile requires much higher barrier height ($\Delta\Delta G^\ddagger = 1.8$ kcal/mol). From a qualitative perspective, these results explain the observed slower reaction rate of acetonitrile (~ 39 mmol h^{-1} $\text{cm}^{-2}_{\text{cat}}$) compared to acetone (~ 95 mmol h^{-1} $\text{cm}^{-2}_{\text{cat}}$), attributed to a kinetic effect.

To uncover the physical origins of this kinetic preference, we analyzed the electronic and structural properties of the related cyclization transition states, TS1 and TS3 [47–49]. The electrostatic potential (ESP) maps rendered on the van der Waals surfaces of these transition states (Fig. 5B) provide the insight that, in TS1, there is a strong electrostatic complementarity between the electron-rich carbonyl oxygen of acetone ($V = -25.4$ kcal/mol) and the highly electron-deficient, electrophilic carbon of the BF_3 -activated epoxide ($V = 35.6$ kcal/mol). While TS3 displays a similar interaction, the positive potential on the corresponding electrophilic carbon is less intense ($V = 33.8$ kcal/mol), suggesting a weaker electrostatic driving force (Fig. 5D). This qualitative picture could be further quantified by energy decomposition analysis (EDA) [50], with the results for TS1 and TS3 compared in Fig. 5E–5F. The analysis partitions the activation strain energy (ΔE^\ddagger) into the energy required to distort the reactants into their TS geometry ($\Delta E^\ddagger_{\text{dist}}$) and the interaction energy between them ($\Delta E^\ddagger_{\text{int}}$). The lower activation energy of TS1 originates from both a more favorable interaction energy ($\Delta E^\ddagger_{\text{int}} = -19.64$ kcal/mol for TS1 vs. -17.52 kcal/mol for TS3) and a lower distortion energy ($\Delta E^\ddagger_{\text{dist}} = 33.01$ kcal/mol for TS1 vs. 34.88 kcal/mol for TS3). Acetonitrile's linear structure is more rigid than acetone, and twisting requires more energy. A deeper decomposition of the interaction energy confirms the crucial role of electrostatics and orbital interactions. The electrostatic term ($\Delta E^\ddagger_{\text{elst}}$) is significantly more stabilizing in TS1 (-32.71 kcal/mol) than in TS3 (-28.56 kcal/mol), providing robust quantitative support for the trend observed in the ESP analysis. Furthermore, the orbital interaction term ($\Delta E^\ddagger_{\text{orb}}$), which reflects the covalent character of the forming bond, is also substantially stronger in TS1 (-11.95 kcal/mol) compared to TS3 (-8.89 kcal/mol). Although $\Delta E^\ddagger_{\text{rep}}$, which represents the steric hindrance effect, is higher in TS1 (26.65 kcal/mol) compared to TS3 (19.27 kcal/mol) because of acetone carries two methyl groups with larger steric hindrance, which strongly repel the substrate fragment in the transition state, thus it still exhibits stronger electrostatic attraction and orbital interactions.

3. Conclusions

In conclusion, we propose a universal, convenient and green

electrochemical synthesis approach for the [3 + 2] ring expansion of epoxides to yield 1,3-dioxolane, oxazoline and cyclic carbonate derivatives via the insertion of acetone, acetonitrile or carbon dioxide molecule. These reaction processes can be significantly promoted by employing Lewis-acidic ionic liquid supporting electrolytes and designed cathodic metal electrocatalysts (e.g., Pt and Rh) on carbon fibers. This approach offers mild reaction conditions, high efficiency, high atomic economy, ease of operation, and versatility for the mass production of five-membered heterocycle derivatives. Theoretical calculations support the preference for a concerted ring-expanding reaction pathway over a stepwise one in terms of kinetic favorability. The disparity in activation energy barriers for the rate-determining steps derived from different electrostatic attractions are visualized by the electrostatic potential surfaces, providing a reasonable explanation on the rapid reaction rate of acetone compared to that of acetonitrile. With the growing significance in the controllable synthesis of diversified oxygen- and/or nitrogen-containing heterocyclic compounds, and the notable merits of electrochemically driven reactions in green synthetic chemistry, this study presents an effective synthetic strategy for exploring this intriguing research domain.

CRedit authorship contribution statement

Qianchuan Yu: Formal analysis. **Huaizhu Wang:** Formal analysis. **Tianyu Shen:** Formal analysis. **Yizhi Xing:** Formal analysis. **Zuoxiu Tie:** Project administration. **Xingkai Ma:** Formal analysis. **Guochun Ding:** Formal analysis. **Zhong Jin:** Writing – review & editing, Writing – original draft, Supervision, Resources, Project administration, Funding acquisition, Conceptualization. **Yulong Fu:** Writing – original draft, Formal analysis, Data curation. **Pengbo Zhang:** Formal analysis. **Guoqiang Wang:** Writing – original draft, Software, Methodology, Formal analysis, Data curation. **Miao Wang:** Writing – review & editing, Writing – original draft, Validation, Formal analysis, Data curation, Conceptualization. **Shuhua Li:** Writing – original draft, Software, Resources, Formal analysis, Data curation. **Zuoao Wu:** Writing – original draft, Formal analysis, Data curation.

Declaration of Competing Interest

We declare that we do not have any commercial or associative interest that represents a conflict of interest in connection with the work submitted.

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Supplementary materials

Experimental section; Materials and products characterizations; Computational details; Supplementary text; Fig. S1–S45; Table S1; XRD patterns; XPS spectra; SEM and elemental mapping images; TEM; V-t curves; Screening results of cathodic electrocatalysts; MS results; EIS; CV

curves; HPLC plots; ^1H and ^{13}C NMR spectra; FT-IR spectra; Scale-up experiment details; Electrochemical ring expansion of epoxide with carbon dioxide.

Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.nanoen.2025.111485](https://doi.org/10.1016/j.nanoen.2025.111485).

Data availability

Data will be made available on request.

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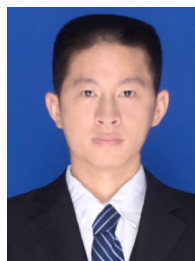
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